

Cerus-5200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Adonis Stassinopoulos

Serial No.: 10/043,855

Group No.: 3762

Filed: December 21, 2001

Examiner: NYA

Entitled: METHODS FOR INACTIVATION OF PATHOGENS
IN BIOLOGICAL MATERIALS

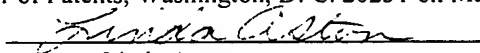
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Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

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Linda Aston

Dear Sir:

**IN RESPONSE TO THE NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION** mailed March 26, 2002, Applicants' submit the
following documents:

1. A Declaration signed by the inventors (2 sheets);
2. An Assignment signed by Adonis Stassinopoulos and David Cook (2 sheets);
3. Power of Attorney and Prosecution by Assignee under 37 C.F.R. § 3.71(3 sheets);
4. Recordation Form Cover Sheet (1 sheet);
5. Petition and Statement of Facts Under 37 C.F.R. § 1.183 filed by Applicants on February 4, 2002 petitioning the Patent and Trademark office to accord December 21, 2001 as the filing date for the above-identified application (5 sheets);
6. Copy of marked up filing receipt (1 sheet); and
7. Copy of Notice To File Missing Parts of Nonprovisional Application. (1 sheet)

The Commissioner is hereby authorized to charge any fees associated with this petition or credit any overpayment to **Deposit Account No. 19-4315**.

Respectfully submitted

CERUS CORPORATION

Date: May 22 2002

By: 

John W. Tessman
Registration No. 43,949
2525 Stanwell Drive, Suite 300
Concord, CA 94520
Telephone: (925) 288-6121
Facsimile: (925) 288-0192

Please type a plus sign (+) inside this →



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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission
(including this page)

Application Number

10/043,855

Filing date

December 21, 2001

First Named Inventor

Adonis Stassinopoulos

Group Art Unit

Not Yet Assigned

Examiner Name

Not Yet Assigned

Attorney Docket Number

Cerus-5200

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request
(5 month)

☐ Express Abandonment Request

☐ Information Disclosure
Statement (Supplemental)

☐ PTO Form 1449

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s) ___ Sheets

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) ___

☐ After Allowance Communication
to Group

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Status Letter

☒ Other Enclosure(s) (please identify
below): Petition Under 37 CFR §
1.183; Statement of Facts; Proof
of mailing; return receipt postcard

Remarks

☐ Check in the amount of
\$ _____ enclosed

☐ Please charge \$ 0.00 to
deposit account 19-4315

☒ Please charge any additional fees to deposit account 19-4315

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

John W. Tessman
CERUS CORPORATION

Registration Number 43,949

Signature

Date

February 4, 2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

February 4, 2002

Typed or printed name

Linda Aston

Signature

Linda Aston

Date

February 4, 2002

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OFFICE OF THE COMMISSIONER OF PATENTS AND TRADEMARKS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Adonis Stassinopoulos Group No. Not Yet Assigned

Serial No. 10/043,855 Examiner: Not Yet Assigned

Filing Date: December 21, 2001

For: **METHODS FOR INACTIVATION OF PATHOGENS IN
BIOLOGICAL MATERIALS**

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CERTIFICATE OF MAILING

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Linda Aston
Linda Aston

STATEMENT OF FACTS UNDER 37 C.F.R. § 1.183

Dear Sir:

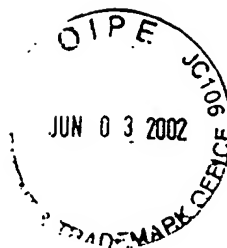
I, Linda Aston, state that on December 21, 2001 I attempted to deposit with the United States Postal Service, by "Express Mail" under 37 C.F.R. 1.10, a utility patent application in the above-identified case. This attempt was refused by the USPS employee as undeliverable at the 20231 zip code due to the anthrax quarantine, which he falsely believed was in effect on this date. Said USPS postal employee would only accept this mailing as a first class/priority mailing. A copy of the receipt for priority mailing is enclosed, as is a "Certificate of Mailing" issued to me at the time of deposit on December 21, 2001.

I, Linda Aston, hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Linda Aston
Linda Aston

Dated: February 4, 2002



CONCORD CA MAIN POST OFFICE
CONCORD, California
945205710

12/21/2001 (800)275-8777 06:04:04 PM

Sales Receipt

Product Description	Sale Qty	Unit Price	Final Price
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Mail Pickup		Delivered	
Label # 70010360000191085371			
# of Mail Pieces :	1		
Mail Pickup Date: 12/21/2001 5:56:30 PM			
WASHINGTON DC 20231			\$3.50
Priority Mail			

Issue PVI: \$3.50

WASHINGTON DC 20231 \$3.50
Priority Mail

Issue PVI: \$3.50

Total: \$7.00

Paid by:
Cash \$7.00

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Linda Aston

Cerus Corp

2525 Starwell Dr Suite 300
Concord CA 94520

One piece of ordinary mail addressed to:

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Washington DC 202

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Sales Receipt

Product Description	Sale Qty	Unit Price	Final Price
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PVI	1	\$0.75	\$0.75
PVI	1	\$0.75	\$0.75

Total: \$1.50

Paid by:
Cash \$1.50

Bill #: 1000100486553
Clerk: 06

Refunds only per DMM P014

Thank you for your business
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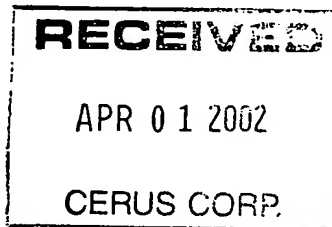


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/043,855	04/14/2002 12-21-2001	3762	1838	Cerus-5200		67	6

John W. Tessman
Cerus Corporation
Suite 300
2525 Stanwell Drive
Concord, CA 94520



CONFIRMATION NO. 8419

FILING RECEIPT



OC000000007720045

Date Mailed: 03/26/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Adonis Stassinopoulos, Dublin, CA;
David Cook, Lafayette, CA;

Assignment For Published Patent Application

Cerus Corporation, Concord, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/257,523 12/21/2000

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Methods for inactivation of pathogens in biological materials

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,855	01/14/2002	Adonis Stassinopoulos	Cerus-5200

CONFIRMATION NO. 8419

FORMALITIES LETTER



OC000000007720046

John W. Tessman
Cerus Corporation
Suite 300
2525 Stanwell Drive
Concord, CA 94520

Date Mailed: 03/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

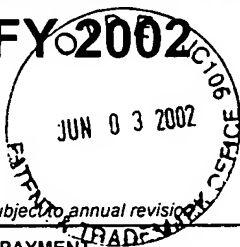
A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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FEE TRANSMITTAL FOR FY 2002



Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$170.00)

Complete if Known

Application Number	10/043,855
Filing Date	December 21, 2001
First Named Inventor	Adonis Stassinopoulos
Examiner Name	NYA
Group Art Unit	3762
Attorney Docket No.	Cerus-5200

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-4315

Deposit Account Name CERUS CORPORATION

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20** =	x	= \$	
Independent Claims	3** =	x	= \$
Multiple Dependent			= \$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)*

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) Declaration surcharge under 37 CFR 1.16(l) 130.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$170.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	John W. Tessman	Registration No. (Attorney/Agent)	43,949	Telephone	(925) 288-6121
Signature				Date	May 22, 2002

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